## cosmologie et énergie noire

Martin Kunz Université de Genève

(& the Euclid & Planck collaborations & some others)

### ???

Who are you?

- A) I have not started my PhD yet
- B) first year of PhD
- C) second year of PhD
- D) 3+ years
- E) Engineer
- F) post-doc, staff, ...

# global outline

### background: the FLRW metric

- metric, scale factor, redshift, distances
- Einstein eqn's, evolution of the universe
- the cosmological standard model: LCDM

### the perturbed universe

- inflation, evolution of the perturbations
- brief discussion of CMB

### dark energy & modified gravity

- dark energy models
- screening
- effective field theory
- phenomenological modeling
- Planck constraints, expectations for future constraints

# **Brief history of the Universe**



# orders of magnitude

cosmology also goes right down to the Planck scale... ... but for now we are more interested in large scales!



### solar system: size: billions of km ( $10^9$ km) $1AU = 1.5x10^8$ km Pluto ~ 40 AU, Voyager 1: 128 AU

galaxies: size ~ 10 kpc 1pc ≈ 3 light years = 3x10<sup>13</sup> km billions of stars (sizes vary!)





(observable) universe size ~ 10 Gpc (~  $10^{23}$  km vs I<sub>P</sub> ~  $10^{-38}$  km) ~  $10^{11}$  galaxies

# Outline of part I how to describe the universe

### metric structure: cosmography

- the metric
- expansion of the universe, redshift and Hubble's law
- cosmological distances and the age of the universe

### content and evolution of the universe

- Einstein equations and the Bianchi identity
- the critical density and the  $\Omega$ 's
- the evolution of the universe
- contents, the LCDM model

## ???

- Friedmann equation
  - A) I know it very well
  - B) I have seen it
  - C) what? never heard of it
- Age of the Universe
  - A) I don't know it, and I don't know how to compute it
  - B) I know it, but can't compute it
  - C) I can compute it, but have forgotten the value
  - D) Come on, do you think I'm stupid or what?

# the cosmological space-time

### Ingredients:

- the universe looks isotropic around us
- Cosmological principle: all observers are equivalent
- some technical assumptions on how stuff behaves
- implication: the universe has a FLRW metric

$$ds^{2} = dt^{2} - \left(\frac{dR^{2}}{1 - KR^{2}} + R^{2}d\Omega\right)^{\kappa > 0}$$
(at least for simply connected spaces)



# basic quantities

 Maximal symmetry for spatial sections imposes an even stronger constraint: setting R(t) = a(t) r, the line element has the form

$$ds^{2} = dt^{2} - a(t)^{2} \left(\frac{dr^{2}}{1 - \kappa r^{2}} + r^{2}d\Omega\right)$$

where  $k = \pm 1$  or 0 is a constant

• For this metric, the curves  $(r,\theta,\phi)=$ const are geodesics for a 4-velocity u=(1,0,0,0) since  $\Gamma^{\mu}_{00}=0$  [check!] -> comoving coordinates

(geodesic eqn:  $\ddot{X}^{\mu} + \Gamma^{\mu}_{\alpha\beta} \dot{X}^{\alpha} \dot{X}^{\beta} = 0$  )

expansion leads to redshift

$$1 + z = \frac{a(t_0)}{a(t_1)}$$

## The Hubble law

for two galaxies at a fixed **comoving** distance  $r_0$ : **physical** distance  $x(t) = a(t)r_0$ 



100

200

Distance (Mpc)

300

400

and broken line represent the solution combining the nebulae into groups; the cross represents the mean velocity corresponding to the mean distance of 22 nebulae whose distances could not be estimated individually.

# philosophical remarks

The FLRW metric is just picked 'by hand'

$$ds^{2} = dt^{2} - a(t)^{2} \left(\frac{dr^{2}}{1 - \kappa r^{2}} + r^{2}d\Omega\right)$$

- This needs to be tested as much as possible!
- E.g. an even more symmetric possibility would be the de Sitter metric, but observations rule it out!
- We know that the Universe is not exactly FLRW, it's not entirely clear yet how important this is
- FLRW leads to testable consequences (the '3 pillars' – there are more tests)
- Unfortunately we have only 1 Universe, and we can't even go everywhere, we can only observe

# cosmological distances

simpler to transform the distance variable r to  $\chi$ :

$$r = S_{\kappa}(\chi) = \begin{cases} \sin \chi & \kappa = +1 \\ \chi & \kappa = 0 \\ \sinh \chi & \kappa = -1 \end{cases}$$

$$\Rightarrow ds^2 = dt^2 - a^2(t) \left( d\chi^2 + S_\kappa(\chi)^2 d\Omega \right)$$
  
$$\Rightarrow dV = a_0^2 S_\kappa(\chi)^2 d\Omega d\chi \text{ volume element today}$$

we can now *define* a «metric» distance:

$$d_m(\chi) = a_0 S_\kappa(\chi) \qquad \chi = \int_{t_1}^{t_0} \frac{dt}{a(t)} = \int_{a_1}^{a_0} \frac{da}{a\dot{a}} = \frac{1}{a_0} \int_0^{z_1} \frac{dz}{H(z)}$$

# cosmological distances

but physical distances need to be **observables**!

surface:  $4\pi d_m^2$ 

 angular diameter distance: object of physical size D observed under angle δ, but photons were emitted at time t<sub>1</sub> < t<sub>0</sub>:

$$D = a(t_1)S_{\kappa}(\chi)\delta = \frac{a(t_1)}{a_0}a_0S_{\kappa}(\chi)\delta \equiv d_A\delta$$

$$d_A = \frac{1}{1+z}d_m$$

2) luminosity distance: consider observed flux F for an object with known intrinsic luminosity L («standard candle»)

$$F \equiv \frac{L}{4\pi d_L^2}$$

source emitting one photon per second:

- 1) redshift
- 2) increased time between arrivals

$$d_L = (1+z)d_m$$

D

δ

## distance example



# age of the universe

computing the age of the universe is very straightforward:

$$t_0 = \int_0^{t_0} dt = \int_0^{a_0} \frac{da}{\dot{a}} = \int_0^{a_0} \frac{da}{aH(a)} = \int_0^\infty \frac{dz}{H(z)(1+z)}$$

but we need to know the evolution of the scale factor a(t). This in turn depends on the contents of the universe...

cue Einstein: 
$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G_N T_{\mu\nu}$$
  
**geometry [=f(g\_{\mu\nu})]**

## ???

- So far, the lecture is
- A) too fast
- B) too slow
- C) both
- D) neither
- E) what's the point?!

## what is in the universe?

- homogeneous and isotropic metric: matter does also have to be distributed in this way
- in **some** coordinate system the energy momentum tensor has the form:

$$T_0^i = 0, \quad T_1^1 = T_2^2 = T_3^3$$

and the components depend only on time

$$T^{\nu}_{\mu} = \operatorname{diag}\left(\rho(t), -p(t), -p(t), -p(t)\right)$$

- the pressure determines the nature of the fluid,
   p = w ρ:
  - w = 0 : pressureless `dust', `matter'
  - w = 1/3 : radiation

– what is w for 
$$T_{\mu
u}=\Lambda g_{\mu
u}$$
 ?

# the conservation equation

• Bianchi identity (geometric identity for  $G_{\mu\nu}$ ):

$$T^{\mu\nu}_{;\mu} = 0 = G^{\mu\nu}_{;\mu}$$

$$T_{0;\nu}^{\nu} = \dot{\rho} + \Gamma_{i0}^{i}(\rho + p) = \dot{\rho} + 3\left(\frac{\dot{a}}{a}\right)(\rho + p) = 0$$
(1+w)p

Questions (3 minutes, in groups):

- for a constant w, what is the evolution of ρ(a)?
   (eliminate the variable t from the equation)
- for the three cases w = 0, 1/3, -1, what is  $\rho(a)$ ?
- does the result make sense?

# evolution of the energy densities



# **Einstein equations**

- we now have all necessary ingredients to compute the Einstein equations:
  - metric
  - energy-momentum tensor

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G_N T_{\mu\nu}$$
$$R_{\mu\nu} \equiv R^{\alpha}_{\mu\alpha\nu} \qquad R \equiv g^{\mu\nu}R_{\mu\nu}$$
$$R^{\alpha}_{\beta\mu\nu} = \Gamma^{\alpha}_{\nu\beta,\mu} - \Gamma^{\alpha}_{\mu\beta,\nu} + \Gamma^{\delta}_{\nu\beta}\Gamma^{\alpha}_{\mu\delta} - \Gamma^{\delta}_{\mu\beta}\Gamma^{\alpha}_{\nu\delta}$$
$$\Gamma^{\alpha}_{\mu\nu} = \frac{1}{2}g^{\alpha\beta} \left(g_{\beta\mu,\nu} + g_{\beta\nu,\mu} - g_{\mu\nu,\beta}\right)$$

try to do it yourselves...  $\textcircled{\odot}$ 

# **Friedmann equations**

you should find:

$$\begin{split} R_{00} &= -3\frac{\ddot{a}}{a} \qquad R_{ij} = -\left[\frac{\ddot{a}}{a} + 2\left(\frac{\dot{a}}{a}\right)^2 + 2\frac{\kappa}{a^2}\right]g_{ij} \\ R &= -6\left[\frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2 + \frac{\kappa}{a^2}\right] \qquad \text{the space-time curvature is non-zero even for k=0!} \\ \text{0-0 component:} \qquad \left(\frac{\dot{a}}{a}\right)^2 + \frac{\kappa}{a^2} = \frac{8\pi G_N}{3}\rho^{-\kappa} \text{ sum of $\rho$ from all types of energy} \\ \text{i-i component:} \qquad 2\left(\frac{\ddot{a}}{a}\right) + \left(\frac{\dot{a}}{a}\right)^2 + \frac{\kappa}{a^2} = -8\pi G_N p \end{split}$$

# Friedmann equations II

### three comments:

you can combine the two equations to find

$$\left(\frac{\ddot{a}}{a}\right) = -\frac{4\pi G_N}{3}\left(\rho + 3p\right)$$

-> the expansion is accelerating if p < -p/3

- the two Einstein equations and the conservation equation are not independent
- there are 3 unknown quantities (ρ, p and a) but only two equations, so one quantity needs to be given (normally p) – as well as the constant k.

## the critical density

Friedmann eq.  $\left(\frac{1}{2}\right)$ 

$$\frac{\dot{a}}{a}\bigg)^2 + \frac{\kappa}{a^2} = \frac{8\pi G_N}{3}\rho$$

 $H \equiv \left(\frac{\dot{a}}{a}\right) \qquad \qquad \frac{\kappa}{a^2 H^2} = \frac{8\pi G_N \rho}{3H^2} - 1 \equiv \frac{\rho}{\rho_c} - 1 \equiv \Omega - 1$ 

 $\begin{aligned} \Omega(t) > 1 & \Rightarrow & \kappa > 0 \Rightarrow \textbf{closed} \text{ universe} \\ \Omega(t) = 1 & \Rightarrow & \kappa = 0 \Rightarrow \textbf{flat} \text{ universe} \\ \Omega(t) < 1 & \Rightarrow & \kappa < 0 \Rightarrow \textbf{open} \text{ universe} \end{aligned}$ 

and: 
$$\frac{d}{dt} \left( \frac{\Omega - 1}{\kappa} \right) = \frac{d}{dt} \frac{1}{\dot{a}^2} = -2\frac{\ddot{a}}{\dot{a}^3}$$
  
 $|\Omega - 1| \quad (\kappa \neq 0)$ 

>0 for expanding universe filled with dust or radiation (and k ≠ 0)
-> the universe becomes "less flat"
-> strange (why?)

# ' $\Omega$ form' of Friedmann eq.

notation:

 $\Omega_X =$ 

 $\left. \frac{\rho_X}{\rho_c} \right|$ 

Friedmann eq. 
$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{\kappa}{a^2} = \frac{8\pi G_N}{3}\rho$$

evolution of  $\rho$  for the «usual» 4 constituents:

- radiation: a<sup>-4</sup>
- dust: a<sup>-3</sup>
- curvature:  $a^{-2}$  (H<sup>2</sup> + k/a<sup>2</sup> ~  $\rho$ )
- cosmological constant: a<sup>0</sup>

$$H^{2} = H_{0}^{2} \left[ \frac{8\pi G}{3H_{0}^{2}} \rho_{0} \left( \frac{a}{a_{0}} \right)^{-n} + \ldots + \frac{\kappa}{H_{0}^{2}a_{0}^{2}} \left( \frac{a}{a_{0}} \right)^{-2} \right]$$
$$H^{2} = H_{0}^{2} \left[ \Omega_{r} \left( \frac{a}{a_{0}} \right)^{-4} + \Omega_{m} \left( \frac{a}{a_{0}} \right)^{-3} + \Omega_{\Lambda} + \Omega_{\kappa} \left( \frac{a}{a_{0}} \right)^{-2} \right]$$
$$\Omega_{r} + \Omega_{m} + \Omega_{\Lambda} + \Omega_{\kappa} = 1$$

## evolution of the universe



## age of the universe revisited

we had: 
$$t_0 = \int_0^\infty \frac{dz}{H(z)(1+z)}$$

but for a matter-dominated universe:

$$H = H_0 \left(\frac{a}{a_0}\right)^{-3/2} = H_0 (1+z)^{3/2}$$

$$H_0 t_0 = \int_0^\infty \frac{dz}{(1+z)^{5/2}} = \int_1^\infty \frac{du}{u^{5/2}} = -\frac{2}{3} \left. \frac{1}{u^{3/2}} \right|_1^\infty = \frac{2}{3}$$



 $1/H_0 \sim 9.8 \text{ Gyr}/[H_0/100 \text{ km/s/Mpc}] \sim 13.6 \text{ Gyr} \rightarrow t_0 \sim 9 \text{ Gyr}$  but oldest globular star clusters are older: 11-18 Gyr ...??!!

## distances revisited



1.0

0.0

0.2

0.6

redshift z.

0.4

0.8

1.0

the contents of the universe through the expansion rate!





## constraints



# ingredients for LCDM soup

To explain supernova distances we need:

- (expansion rate: H<sub>0</sub>)
- (radiation)
  - given by T<sub>0</sub> through Stefan-Boltzmann
  - includes neutrinos
- matter: Ω<sub>m</sub>
  - `normal' and dark
  - "cold"  $\rightarrow$  low velocity and collisionless
- cosmological constant:  $\Omega_{\Lambda}$

Lambda-cold-dark-matter model

# status report

- reasonable (?) assumptions → FLRW metric
- GR: link of evolution and contents
  - universe expanding: smaller and hotter in the past
  - age & distance measurements: LCDM model

### • Issues:

- universe appears spatially flat
- where does the structure come from?
- how do perturbations evolve?

### Next steps:

- inflation with scalar fields
- creation and evolution of perturbations
- CMB
- dark energy / modified gravity

# **Brief history of the Universe**



# why is the world flat?

#### we saw:

$$\frac{d}{dt} \left( \frac{\Omega - 1}{\kappa} \right) = \frac{d}{dt} \frac{1}{\dot{a}^2} = -2\frac{\ddot{a}}{\dot{a}^3}$$

$$|\Omega - 1| \quad (\kappa \neq 0)$$



>0 for expanding universe filled with dust or radiation (and k ≠ 0)
-> the universe becomes "less flat"
-> Ω=1 is an unstable fix-point

following the evolution back in time, we find that (during radiation domination, i.e. before  $t_{eq}$ )

$$|\Omega(t) - 1| \approx 10^{-4} \left(\frac{1 \text{eV}}{T}\right)^2$$

BBN: T ≈ 1 MeV ->  $|\Omega$ -1| < 10<sup>-16</sup> Planck: T ≈ 10<sup>19</sup> GeV ->  $|\Omega$ -1| < 10<sup>-60</sup>

-> what fine-tuned the initial conditions?

# why is the sky uniform?

- distance travelled by light:  $r = \int \frac{dt}{dt}$
- distance to last scattering surface:  $r_0 = \int_{t_{\rm rec}}^{t_0} \frac{dt}{a(t)} \approx 3t_0$
- distance travelled from big bang to recombination:  $\int_{t_{rec}}^{t_{rec}} dt$

$$r_c = \int_0^{t_{\rm rec}} \frac{dt}{a(t)}$$

in general  $r_c << r_0$ , unless  $a(t) \sim t^{\beta}$ with  $\beta \ge 1 \Leftrightarrow w \le -1/3!$ since  $a(t) \propto t^{2/(3+3w)}$ 

$$\frac{at}{a(t)} \text{ (= conformal time)}$$

$$(= conformal time)$$

$$\int_{t_0}^{t_0} \int_{t_{rec}}^{t_0} \int_{t_{rec}}^{t_0} \int_{t_{rec}}^{t_{rec}} \int_{t_{rec}}^{t_{rec}}$$

# how to solve the problems

all the problems disappear if  $\ddot{a} > 0$  for long enough!

Since 
$$\left(\frac{\ddot{a}}{a}\right) = -\frac{4\pi G_N}{3} \left(\rho + 3p\right)$$
 this needs p < - $\rho/3$ 

We have seen that for  $\Lambda$  :  $p = -\rho$ , but forever -> we need a way to have evolving eq. of state

Solution: use a field ... what kind of field? When in doubt, try a scalar field ©

## scalar fields in cosmology

GR + scalar field:  $S = S_g + S_\phi = \int d^4x \sqrt{-g} \left( \frac{R}{16\pi G} + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V(\phi) \right)$ 

gravity e.o.m. (Einstein eq.):  $\delta$ 

$$\frac{S[g_{\mu\nu},\phi]}{\delta g^{\mu\nu}} = 0$$
  
entries in scalar  
field EM tensor  
(FLRW metric)

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$
$$\rho_{\phi} = \frac{1}{2}\dot{\phi}^2 + V(\phi)$$
$$p_{\phi} = \frac{1}{2}\dot{\phi}^2 - V(\phi)$$

scalar field e.o.m. :  $\frac{\delta S[g_{\mu\nu},\phi]}{\delta\phi} = 0 \qquad \ddot{\phi} + 3H\dot{\phi} + dV(\phi)/d\phi = 0$ 

this is the general method to compute Einstein eq., EM tensor and field e.o.m. from any action
w=p/ρ for scalar fields can vary, as a function of V(φ)
### the inflaton eq. of state

$$\rho_{\phi} = \frac{1}{2}\dot{\phi}^2 + V(\phi)$$
$$p_{\phi} = \frac{1}{2}\dot{\phi}^2 - V(\phi)$$

 $\dot{\phi}$  small -> p ≈ -p, w ≈ -1 (slow roll)

$$\phi$$
 large -> p  $\approx$   $\rho$ , w  $\approx$  +1

=> slow roll is just what we need

 $3H\dot{\phi} = -V' \quad H^2 = \frac{1}{3m_D^2}V$ slow-roll approximation:  $\epsilon(\phi) \equiv \frac{m_P^2}{2} \left(\frac{V'}{V}\right)^2 \eta(\phi) \equiv m_P^2 \frac{V''}{V}$ slow-roll parameters:  $\epsilon \ll 1$ ,  $|\eta| \ll 1$  for slow-roll -> flat pot.  $\text{SR approx => } \dot{\phi}^2 = \frac{2}{3} \epsilon V \Rightarrow p = \left(\frac{2}{3} \epsilon - 1\right) \rho \ \rightarrow \ddot{a} > 0 \leftrightarrow \epsilon < 1$ (first order in  $\varepsilon$ )

## prototypical inflation models

small field



e.g. V = V<sub>0</sub> [1-( $\phi/\mu$ )<sup> $\alpha$ </sup>],  $\alpha$  = 2,4,... original inflation: 1<sup>st</sup> order phase transition -> exit problem

chaotic / large field

e.g. V =  $m^2 \Phi^2$  or  $V \sim \Phi^4$ also eternal inflation models

hybrid / multifield

• curvaton, N-flation, cyclic models, ...

-> large number of inflation scenarios

-> not all work // initial conditions generally problematic

## more on inflation

- duration of inflation
  - measured in e-foldings N  $\sim$  ln(a)
  - typically 40-60 e-foldings needed to solve the problems we discussed
- at the end of inflation we need to reheat the universe
  - radiation and matter strongly diluted due to expansion
  - energy stored in inflation field dumped into mat/rad during oscillations at bottom of potential
- fluctuations & primordial power spectrum
  - particle creation during inflation
    - $\rightarrow$  are we quantum fluctuations?
  - prediction: nearly Gaussian fluctuations with nearly scale invariant spectrum
- primordial gravitational waves
  - all light d.o.f. acquire fluctuations!

## constraints on inflation

As discussed in a bit, the fluctuations visible in the CMB are (believed to be and consistent with) a processed version of the initial fluctuations



## generic predictions of inflation

- universe large and nearly flat
   okay
- nearly (but not quite) scale-invariant spectrum of adiabatic perturbations

-> okay [killed defects]

• (nearly) Gaussian perturbations

-> **okay** [deviations -> constrain models]

 perturbations on all scales, including superhorizon

-> **okay** [kills all "causal" sources of perturb.]

• primordial gravitational waves

-> ??? ("smoking gun" for acc. exp.)

## beyond SR inflation

- single-field slow roll inflation: nearly scale invariant adiabatic Gaussian perturbations
- more general models: can create
  - non-Gaussianity
  - isocurvature perturbations
  - features in the power spectrum
- realistic (multi-field) models often form cosmic strings at the end of inflation
- if detected, such signatures would give important information on fundamental physics of inflation!
- These things can also show in large-scale structure observations!

## evolution of the perturbations

- From inflation we have a nearly scale invariant spectrum of perturbations...
  - how will they evolve?
  - what do we observe today?

#### -> matter power spectrum / galaxy distribution

 compute evolution of density perturbations of the dark matter and baryons

#### -> CMB power spectrum

compute evolution of the perturbations in the radiation

### ???

- I know perturbation theory
  - A) relatively well
  - B) not in detail, but I have used perturbation equations
  - C) I know what P(k) and C<sub>l</sub> are
  - D) not really
- I know what CMB anisotropies are
  - A) I have used Boltzmann codes and Planck likelihoods
  - B) I know what the CMB spectrum shows
  - C) I have heard of the CMB, but I don't really know
  - D) CMB, what is this?

### k-space, power spectra

#### We tend to use 'k'-space (Fourier space):

- only perturbations have spatial dependence, so that linear differential eqn's -> ODE's in time
- `scales' instead of `location'

physical wavelength vs comoving wave number:  $\lambda = \frac{2\pi a(t)}{k}$ 

#### **Fluctuations are random**

- need a statistical description -> power spectrum
- power spectra: P(k) = <|perturbations(k)|<sup>2</sup>>
- <...> : average over realisations (theory) or over independent directions or volumes (observers)
- Gaussian fluctuations -> P(k) has full information

## perturbation theory

basic method:

- set  $g_{\mu\nu} = \bar{g}_{\mu\nu} + a^2 h_{\mu\nu}$   $T^{\nu}_{\mu} = \bar{T}^{\nu}_{\mu} + \delta T^{\nu}_{\mu}$
- stick into Einstein and conservation equations
- linearize resulting equation (order 0 : "background evol.")
- $\Rightarrow$  two 4x4 symmetric matrices -> 20 quantities
- ⇒ we have 4 extra reparametrization d.o.f. -> can eliminate some quantities ("gauge freedom")
- ⇒ at linear level, perturbations split into "scalars", "vectors" and "tensors", we will mostly consider scalar d.o.f.

$$ds^{2} = -(1+2\psi)dt^{2} + a^{2}(1-2\phi)dx^{2}$$

 $\Rightarrow$  do it yourself as an exercise

## scalar perturbation equations

#### **Einstein equations:**

r.h.s. summed over "stuff" in universe

 $\delta = \delta \rho / \rho$  density contrast V divergence of velocity field

$$k^{2}\phi = -4\pi Ga^{2}\sum_{i}\rho_{i}\left(\delta_{i}+3Ha\frac{V_{i}}{k^{2}}\right)$$
$$k^{2}(\phi-\psi) = 12\pi Ga^{2}\sum_{i}(1+w_{i})\rho_{i}\sigma_{i}$$

conservation equations: one set for each type (matter, radiation, DE, ...)

$$\delta_i' = 3(1+w_i)\phi' - \frac{V_i}{Ha^2} - \frac{3}{a}\left(\frac{\delta p_i}{\rho_i} - w_i\delta_i\right)$$
$$V_i' = -(1-3w_i)\frac{V_i}{a} + \frac{k^2}{Ha}\left(\frac{\delta p_i}{\rho_i} + (1+w_i)(\psi - \sigma_i)\right)$$

w,  $\delta p$ ,  $\sigma$ : determines physical nature, e.g. cold dark matter: w= $\delta p$ = $\sigma$ =0

$$\delta'_m = 3\phi' - \frac{V_m}{Ha^2} \quad V'_m = -\frac{V_m}{a} + \frac{k^2}{Ha}\psi$$

## perturbation evolution

We can (approximately) eliminate V and obtain a second order eqn for  $\delta$ ,

$$\ddot{\delta}_i = -\alpha_i H \dot{\delta}_i + \left( \mu_i H^2 - \frac{c_{s,i}^2 k^2}{a^2} \right) \delta_i$$

 $\alpha_i$ ,  $\mu_i$  depend on  $w_i$ ,  $c_s^2$  is sound speed (<->  $\delta p$ ), 1/3 for r

- α-term: expansion damping, may suppress growth
- last term: gravitational collapse vs pressure suppor
   -> will prevent growth if c<sub>s</sub> k > Ha -> sound horizor
   -> with H<sup>2</sup> = 8πGp/3 we have the Jeans length λ<sub>1</sub> = c
- straightforward to analyze behaviour of matter, rac of scale (horizon, Jeans-length) and of background matter dominated).

???

what happens if  $c_s^2 < 0$ ?

- A) fluctuations disappear
- B) fluctuations grow rapidly
- C) I don't know
- D) I don't even know what the question is about

## anisotropies in the CMB

Planck

You have often seen this picture

- what does it show?
- why?
- what does it tell us about the universe?



## origin of the CMB

#### T > 3000 K :

Electrons and protons are free. Light interacts strongly with the electron (baryon-photon plasma), strong scattering as in fog.

#### T < 3000 K :

Electrons and protons (re-)combine to neutral atoms. The universe becomes transparent for light, which free-streams to us.

#### We observe:

- 'photo' of last scattering surface
- stuff that happens on the way



## statistical description

Temperature T(n) on the sky: Gaussian random field

**Fourier-analysis on sky sphere**: instead of  $e^{ikt}$  the basis functions are spherical harmonics  $Y_{Im}(n)$ 

$$\delta T(n) = T(n) - T_0 = \sum_{\ell,m} a_{\ell m} Y_{\ell m}(n)$$
statistical isotropy:  

$$\langle a_{\ell m} a_{\ell' m'}^* \rangle = C_{\ell} \delta_{mm'} \delta_{\ell \ell'}$$
wikipedia  
power-spectrum  

$$\sim \delta T^2$$

#### measuring cosmological parameters

The CMB fluctuations depend on the values of the parameters
→ we just vary all of them to find the best values
(there are public codes for this, e.g. CAMB and CLASS)

CMB physics is mostly linear -> very clean probe!





### the CMB power spectrum



## gravitational lensing of CMB

Light is deflected by gravitational perturbations along photon path.

Also true for CMB

- -> shifts power around in C<sub>1</sub>
- -> introduces non-Gaussianity
- -> changes polarisation
- $\Rightarrow$  can be estimated!



### **CMB** and curvature



The Planck satellite provides ~ 0.03% measurement of the angular scale of the first peak!

-> measurement of the geometry of the universe

### how flat is the world?



## (integrated) Sachs-Wolfe eff.

Impact of gravitational potential on CMB:

$$\frac{\delta T}{T} \sim \left. \left( \Phi - \Psi \right) \right|_{\text{dec}} + \int_{t_{\text{dec}}}^{t_0} \left( \dot{\Phi} - \dot{\Psi} \right) dt$$

First term: SW -> ~ constant contribution

Second term: ISW -> depends on evolution of the gravitational potential along photon path!

Dilation Effect



Poisson eq. in matter dom.  $\nabla^2\Phi=4\pi Ga^2
ho_m\delta_m$  ,  $ho_{\rm m}$ ~a-3 ,  $\delta_{\rm m}$ ~a

No ISW effect in a pure matter dominated universe. But when dark energy begins accelerating the expansion:  $\Phi$ ,  $\Psi$  decay -> ISW provides direct test of accelerated expansion -> cosmic variance: large uncertainties ... about  $3\sigma$  when correlating with large scale structure

## polarization

Scattering of light depends on polarisation angle -> last scattering polarizes light depending on local quadrupole.

-> also reionization probe (scattering again)

Scalar (density) perturbations do not lead to vorticity in polarization pattern ("B-modes")

BUT gravitational waves (tensor perturbations) do! (as does lensing)



#### "B-mode" polarization is a probe of exotic (exciting) physics!

## 2014 polar power spectrum

- polarisation decomposed into
  - E: gradient type
  - B: vector / rotation type
- for density / scalar perturbations alone, TT predicts TE and EE (and no Btype polarisation)
- CMB lensing and other constituents (e.g. grav. waves) create B-type polarisation
- so do 'foregrounds'
- detection of primordial GW with B-modes would be very important



## "precision cosmology"

Parameter	[1] Planck TT+low	P [4] Planck TT, T	TE,EE+lowP	
$ \frac{\Omega_{\rm b}h^2}{\Omega_{\rm c}h^2} \dots \dots$	$\begin{array}{c} 0.02222 \pm 0.00023\\ 0.1197 \pm 0.0022\\ 1.04085 \pm 0.00047\\ 0.078 \pm 0.019\\ 3.089 \pm 0.036\\ 0.9655 \pm 0.0062\\ 67.31 \pm 0.96\end{array}$	$\begin{array}{c} 0.02225 \pm \\ 0.1198 \pm \\ 1.04077 \pm \\ 0.079 \pm \\ 3.094 \pm \\ 0.9645 \pm \\ 67.27 \pm \end{array}$	0.00016 Ω <sub>b</sub> = 0.0015 0.00032 0.03 0.017 0.034 0.0049 n <sub>s</sub> = 0.66	× 5% 3% ! ≠ 1
Ω <sub>m</sub>	0.315 ± 0.013 2 milliards d'années après le Big-Bang C,1 % DE RAYONNEMENT ET DE NEUTRINOS 2,9 % 15,1 % B1,9 %	0.3156 ± Aujourd'hui age [Gyr]: 13.80 ± 0.04 4,9 % 58,5 %	0.0091 Dans 10 milliards d'années	5 %

## status report

- we have a full 'model chain' that explains cosmological observations
- the FLRW + LCDM + inflation model is consistent with current data, no significant deviations are observed
- (some issues with isotropy of the CMB, the structure of galaxies and possibly the growth of perturbations notwithstanding)
- main problems are theoretical:
  - we don't understand 95% of the contents: DE and DM
  - especially the cosmological constant is highly problematic
  - (the model also does not explain how inflation started)
  - (and we can't explain the baryon asymmetry)

## **Dark Energy**



Physics Nobel prize 2011: "for the discovery of the accelerating expansion of the Universe through observations of distant supernovae"

accelerating expansion: w < -1/3

- we know that for Λ: w = -1
- data is consistent with  $\Lambda$

why look elsewhere?

### Planck vs ACDM



## What's the problem with $\wedge$ ?

Evolution of the Universe:



Classical problems of the cosmological constant:

- 1. Value: why so small? Natural?
- 2. Coincidence: Why now?

## the coincidence problem

- why are we just now observing  $\Omega_{\Lambda} \approx \Omega_{m}$ ?
- past:  $\Omega_m \approx 1$ , future:  $\Omega_{\Lambda} \approx 1$



## the naturalness problem

energy scale of observed  $\Lambda$  is ~ 2x10<sup>-3</sup> eV zero point fluctuations of a heavier particle of mass m:



already the electron should contribute at m<sub>e</sub> >> eV (and the muon, and all other known particles!)

## **Possible explanations**

- It is a cosmological constant, and there is no problem ('anthropic principle', 'string landscape')
- 2. The (supernova) data is wrong
- 3. We are making a mistake with GR (aka 'backreaction') or the Copernican principle is violated ('LTB')
- It is something evolving, e.g. a scalar field ('dark energy')
- GR is wrong and needs to be modified ('modified gravity')

# W during inflation

(Ilic, MK, Liddle & Frieman, 2010)

• Scalar field inflaton:  $1 + w = -\frac{2}{3}\frac{\dot{H}}{H^2} = \frac{2}{3}\epsilon_H$  and r = T/S ~ 24 (1+w)

• Link to dw/da:  $\frac{d\ln(1+w)}{dN} = 2(\eta_H - \epsilon_H)$   $2\eta_H = (n_s - 1) + 4\epsilon_H$ 

n<sub>s</sub> ≠ 1 => ε ≠ 0 or η ≠ 0 => w ≠ -1 and/or w not constant => not a cosmological constant!

WMAP 5yr constraints on w:

• (1+w) < 0.02

 No deviation from w=-1 visible (but of course not clear if applicable to dark energy)



 $\rightarrow$  inflation was not an (even effective) cosmological constant!

 $\rightarrow$  inflation is one measurement ahead of dark energy research!

### what is the "consensus" 2015?



	RD	PL	JM	BR	GS	LV	AH	Beyond LCDM
Dimensions	3+1	3+1	2 in UV	4	4	e^(4-x) x>=4	3+1	3+1
FRW	y	y	n	y	n	y	y	n
Inflation?	y or n	y	n	צ	maybe	<b>ک</b>	צ	y
Dark Matter	CDM	CDM+	none	CDM+	Strange	CDM- Like	IDM	SpLit
Gravity Theory	MG	GRish	Not GR	GR	nearly GR	GR++	GR++	SpLit
Acceleration: A/DE/MG/BR	MG	DE	MG	DE	Λ	Degener ate w/A	Λ	MG
Anomalies =New Physics	n	y	y	n	y	not yet	n	Split

### action-based approach

 $\begin{array}{l} & \operatorname{GR} + \\ \operatorname{scalar \, field:} \quad S = S_g + S_\phi = \int d^4 x \sqrt{-g} \left( \frac{R}{16\pi G} + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V(\phi) \right) \\ & \operatorname{gravity \, e.o.m.}_{(\operatorname{Einstein \, eq.}):} \quad \overline{\frac{\delta S[g_{\mu\nu}, \phi]}{\delta g^{\mu\nu}}} = 0 \\ & G_{\mu\nu} = 8\pi G T_{\mu\nu} \\ & \operatorname{scalar \, field}_{\operatorname{e.o.m. :}} \quad \overline{\frac{\delta S[g_{\mu\nu}, \phi]}{\delta \phi}} = 0 \\ & \ddot{\phi} + 3H\dot{\phi} + dV(\phi)/d\phi = 0 \end{array}$ 

Actions specify the model fully

- → but not all properties may be immediately obvious
- examples: tracking, behaviour in non-linear regime, stability and ghost issues
- → and, of course, we need to specify the action

## evolving dark energy

- Inflation: accelerated expansion with help of scalar field
- Dark Energy: accelerated expansion with help of scalar field
- If w=p/ρ can change, then initial dark energy density can be much higher -> solves one problem of Λ
- extra bonus: tracking behaviour



$$\ddot{\phi} + 3H\dot{\phi} + dV(\phi)/d\phi = 0 \qquad \begin{array}{c} \rho_{\phi} = \frac{1}{2}\dot{\phi}^{2} + V(\phi) \\ p_{\phi} = \frac{1}{2}\dot{\phi}^{2} - V(\phi) \end{array} \qquad \textbf{w} = \textbf{p}/\textbf{p}$$

Can write scalar field + 'matter' fluid as dynamical system -> example for  $V(\phi) \propto \exp(-\kappa\lambda\phi)$  ( $\kappa^2 = 8\pi G$ ) use new variables & write Friedmann and field equations as

$$x = \frac{\kappa \dot{\phi}}{\sqrt{6}H} \quad y = \frac{\kappa \sqrt{V}}{\sqrt{3}H} \quad N = \ln a \qquad x^2 + y^2 + \frac{\kappa^2 \rho_m}{3H^2} = 1$$
$$\frac{dx}{dN} = -3x + \frac{\sqrt{6}}{2}\lambda y^2 + \frac{3}{2}x \left[ (1 - w_m)x^2 + (1 + w_m)(1 - y^2) \right]$$
$$\frac{dy}{dN} = -\frac{\sqrt{6}}{2}\lambda xy + \frac{3}{2}y \left[ (1 - w_m)x^2 + (1 + w_m)(1 - y^2) \right]$$

fixed points (for details see e.g. hep-th/0603057) 1.{x=0,y=0} ->  $\Omega_{\phi}$ =0 (fluid dominated phase) 2.{x=+/-1,y=0} ->  $\Omega_{\phi}$ =1, w<sub> $\phi$ </sub>=1 (kinetic phase) 3.{x=1/sqrt(6),y=[1- $\lambda^2/6$ ]<sup>1/2</sup>} ->  $\Omega_{\phi}$ =1, 1+w<sub> $\phi$ </sub> =  $\lambda^2/3$  (dark energy phase) 4.{...} ->  $\Omega_{\phi}$  = 3(1+w<sub>m</sub>)/ $\lambda^2$ , w<sub> $\phi$ </sub> = w<sub>m</sub> (tracking phase)
## **Quintessential problems**

- no solution to coincidence problem (need to e.g. put a bump into the potential at the right place)
- Still need to get somehow  $\Lambda = 0$
- potential needs to be very flat
- need to avoid corrections to potential
- need to avoid couplings to baryons
- no obvious candidates for scalar field (Higgs?)
- but nonetheless quintessence is the 'standard evolving dark energy model'

#### (*there are many other scalar field models* – e.g. 'k-essence' and 'growing neutrino' models offer potential solutions to coincidence problem.)

#### some examples I

(from the Euclid parameter definitions document – warning: sketchy citations ahead! Please see reviews)

- **quintessence:** minimally coupled canonical scalar field
  - can track background evolution, but cannot avoid fine-tuning
  - could add couplings to gravity and matter

Wetterich 1988 Ratra & Peebles 1988

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} R - \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi + V \right] + S_{\text{matter}}[g]$$

- **K-essence:** generalized kinetic term
  - different clustering (see later), more general tracking

$$\mathcal{L}_{\phi} = \sqrt{-g} K(\phi, X) \qquad X = \frac{1}{2} (\nabla \phi)^2$$

Armendariz-Picon et al. 2000

#### some examples II

- **f(R) models:** simplest model with higher derivatives Weyl 1918?
  - many popular choices for function f

$$\mathcal{L} = \sqrt{-g} f(R)$$

Brans, Dicke 1961

- f(R) is just a scalar-tensor theory (universal but nonminimal coupling) after a Legendre transformation Φ~f'
  - Jordan frame and Einstein frame (conformal transf.)
  - S/T theories need to be 'hidden' in the solar system

$$\mathcal{L} = \frac{1}{16\pi} \sqrt{-g} \left[ \phi R - \frac{\omega(\phi)}{\phi} \nabla_{\mu} \phi \nabla^{\mu} \phi - 2\Lambda(\phi) \right] + \mathcal{L}_m(\Psi, g_{\mu\nu})$$

• scalar-vector-tensor (eg TeVeS, Aether), etc

#### some examples III

 Horndeski: most general theory with 2<sup>nd</sup> order e.o.m. (higher than 2<sup>nd</sup> order is in general unstable, cf Ostrogradski)

5

- popular sub-classes of Horndeski Deffayet, Pujolas, Sawicki, Vikman 2010
   Kinetic gravity braiding: most general 'dark energy'
   Galileons
   Nicolis, Rattazzi, Trincherini 2009
- Effective field theory: write all operators that are compatible with symmetries (isotropy, homogeneity), single extra scalar
   – similar to Horndeski, some extra terms?

Creminelli et al 2008 Cheung et al 2008

#### some examples IV

Hassan, Rosen 2012

- bigravity and massive gravity models de Rham, Gabadadze, Tolley 2010
  - very interesting massive gravity solved 40 year old problem (non-linear completion of Fierz-Pauli)
  - viability and self-consistency still unclear
  - interesting links to other models (e.g. Horneski, Galileons)

$$\begin{split} S &= -\frac{M_g^2}{2} \int d^4x \sqrt{-\det g} R(g) - \frac{M_f^2}{2} \int d^4x \sqrt{-\det f} R(f) \\ &+ m^2 M_g^2 \int d^4x \sqrt{-\det g} \sum_{n=0}^4 \beta_n e_n \left(\sqrt{g^{-1}f}\right) \\ &+ \int d^4x \sqrt{-\det g} \mathcal{L}_m \left(g, \Phi\right), \end{split}$$

• non-local massive gravity: viable cosmology w/o direct LCDM limit  $S_{\rm NL} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - \frac{1}{6} m^2 R \frac{1}{\Box_a^2} R \right]$ 

Jaccard, Maggiore, Mitsou 2013

#### some examples MCXIII...?!



Many more examples (apologies if I did not mention your favourite theory ⊗ ; read a review for details! ©) ... some approaches (Horndeski/EFT) are very general, but are they general enough? Can we do something else to look for deviations from LCDM?

 $\rightarrow$  phenomenological approach based on evolution of the geometry and/or properties of the effective dark energy fluid

## non-cosmological probes

 fifth force (weak, long-range) from couplings of standard model to new fields

-> screening mechanisms (Chameleon, Vainshtein, ...)

- new particles with strange couplings and/or mass hierarchies (KK)
- varying "fundamental constants" and other violations of the equivalence principle
- perihelion shifts / solar system constraints (including double pulsar timings, etc)
- modifications to stellar structure models
- short-distance gravity modified (now well below 0.1mm)

#### **Einstein vs Jordan frames**

$$g_{\mu\nu} = e^{2f} \tilde{g}_{\mu\nu}$$

f(R) Jordan frame universally coupled but strange gravity

$$\mathcal{L} = -f(\varphi)R + \mathcal{L}_m[m]$$
$$\mathcal{L}_{,R}G_{\mu\nu} = 8\pi G T_{\mu\nu} + \mathcal{F}[\mathcal{L}, f]$$
$$T^{\mu}_{(m)\nu;\mu} = 0$$

$$T^{\mu}_{(\varphi)\nu;\mu} = 0$$

f(R) Einstein frame GR but coupled DE

$$\mathcal{L} = -R + \mathcal{L}_m[\varphi, m]$$

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

$$T^{\mu}_{(m)\nu;\mu} = CT_{(m)}\varphi_{,\nu}$$

$$T^{\mu}_{(\varphi)\nu;\mu} = -CT_{(m)}\varphi_{,\nu}$$

#### screening

- universally coupled scalar d.o.f.  $\rightarrow$  5<sup>th</sup> force
- needs to be hidden in the solar system, or model ruled out
- interestingly, many have generic mechanisms to do just do that

#### schematic Lagrangian in Einstein frame:

$$\mathcal{L} = -\frac{1}{2} Z^{\mu\nu}(\phi, \partial\phi, \partial^2\phi) \partial_\mu \phi \partial_\nu \phi - V(\phi) + \beta(\phi) T^{\mu}_{\mu}$$

- matter EMT can give dependence on local density
- 1. chameleon mechanism: large mass in high-density region, Yukawa force leads to short-range effects only
- 2. symmetron/dilaton mechanism: small coupling in high-density region
- k-mouflage/Vainshtein mechanism: large kinetic function Z (large derivatives) in high-density region to suppress effective coupling to matter
- needs numerical simulations  $\rightarrow$  not easy for future surveys like Euclid
- (small scales also have other issues like baryons)

#### status report

- Data tells us that we need something more than just the standard model of particle physics
- A cosmological constants seems to fit
- But we have to consider also alternatives
  - `classical' problems of cosmological constant
  - inflation looks a bit like dynamical dark energy
  - need to know against what we should compare LCDM
- The problem is not that there are no models ... ☺
- Is there a systematic approach?

# effective (field) theories

- model observations on scales of interest
- ignore degrees of freedom on much smaller scales
- example: fluid dynamics where we model a fluid in terms of density ρ, pressure p and velocity field v without caring about the physical atoms that make up the fluid
- typically needs a separation of scales
- examples of effective QFT's that worked well:
  - Fermi theory of the weak interaction where W and Z are integrated out and we have four-fermion interactions, works for E < 100 GeV</li>
  - Chiral perturbation theory for low-energy dynamics of QCD, where gluons are replaced by pion mediated interactions
- EFT's are often non-renormalizable
- no problem, they are not fundamental theories!

# effective theory of elasticity



- either build detailed model at molecular level
- or effective model of deformations

effective d.o.f  $\rightarrow$ deformation tensor:  $u_{ij} = \left(\frac{\partial u_i}{\partial x_i} + \frac{\partial u_j}{\partial x_i}\right)$ 

vanishes because of definition of 'no deformation'

expand energy in terms of deformation:

$$E = E_0 + \lambda_1^{ij}(\mathbf{x})u_{ij} + \frac{\lambda_2^{ijkl}(\mathbf{x})u_{ij}u_{kl} + \dots}{\frac{\partial^2 E}{\partial u_{ij}\partial u_{kl}}}\Big|_0$$

apply symmetry constraints: isotropy + homogeneity  $\rightarrow \lambda_2$  scalar const.

$$E = E_0 + \frac{1}{2}\lambda \left(u_i^i\right)^2 + \mu u_{ij}u^{ij} = E_0 + \frac{1}{2}K \left(u_i^i\right)^2 + \mu \left(u_{ij} - \frac{1}{3}\delta_{ij}u_k^k\right)^2$$

lessons:

• valid only in a certain regime (eg. no big deformations, not crystals)

• can be written in different ways, some better for physical interpretation

## effective theory of dark energy

different approaches, but generally:

- define 3+1 split (FLRW or based on uniform scalar field hypersurfaces)
- geometry can then be described by  ${}^{3}R_{\mu\nu}$ , extrinsic curvature  $K_{\mu\nu}$ ,  $g^{00}$  or N
- now expand action (e.g. Gleyzes et al, 2015)

$$\begin{split} L(N,K_{j}^{i},R_{j}^{i},\dots) &= \bar{L} + L_{N}\delta N + \frac{\partial L}{\partial K_{j}^{i}}\delta K_{j}^{i} + \frac{\partial L}{\partial R_{j}^{i}}\delta R_{j}^{i} + L^{(2)} + \dots, \\ L^{(2)} &= \frac{1}{2}L_{NN}\delta N^{2} + \frac{1}{2}\frac{\partial^{2}L}{\partial K_{j}^{i}\partial K_{l}^{k}}\delta K_{j}^{i}\delta K_{l}^{k} + \frac{1}{2}\frac{\partial^{2}L}{\partial R_{j}^{i}\partial R_{l}^{k}}\delta R_{j}^{i}\delta R_{l}^{k} + \\ &+ \frac{\partial^{2}L}{\partial K_{j}^{i}\partial R_{l}^{k}}\delta K_{j}^{i}\delta R_{l}^{k} + \frac{\partial^{2}L}{\partial N\partial K_{j}^{i}}\delta N\delta K_{j}^{i} + \frac{\partial^{2}L}{\partial N\partial R_{j}^{i}}\delta N\delta R_{j}^{i} + \dots \end{split}$$

the coefficients can be collected in different ways, impose isotropy & homogeneity & conditions to ensure no more than 2<sup>nd</sup> derivatives in e.o.m.

#### impose conditions

(Cheung et al, 2008; Gubitosi et al, 2013, Bloomfield et al 2013, ... below is Bloomfield used in in EFTcamb, contains also higher derivatives, models w/Lorentz violation)

$$S = \int d^{4}x \sqrt{-g} \left\{ \frac{m_{0}^{2}}{2} \left[ 1 + \Omega(\tau) \right] R + \Lambda(\tau) - a^{2}c(\tau)\delta g^{00} \right. \\ \left. + \frac{M_{2}^{4}(\tau)}{2} \left( a^{2}\delta g^{00} \right)^{2} - \bar{M}_{1}^{3}(\tau) 2a^{2}\delta g^{00}\delta K_{\mu}^{\mu} \right. \\ \left. - \frac{\bar{M}_{2}^{2}(\tau)}{2} \left( \delta K_{\mu}^{\mu} \right)^{2} - \frac{\bar{M}_{3}^{2}(\tau)}{2} \delta K_{\nu}^{\mu}\delta K_{\mu}^{\nu} + \frac{a^{2}\hat{M}^{2}(\tau)}{2} \delta g^{00}\delta R^{(3)} \right. \\ \left. + m_{2}^{2}(\tau) \left( g^{\mu\nu} + n^{\mu}n^{\nu} \right) \partial_{\mu} \left( a^{2}g^{00} \right) \partial_{\nu} \left( a^{2}g^{00} \right) \right\} \\ \left. + S_{m} [\chi_{i}, g_{\mu\nu}].$$

$$(2)$$

a compact notation is (Bellini & Sawicki 2014, Gleyzes et al 2015)

$$S^{(2)} = \int d^3x dt a^3 \, rac{M^2}{2} igg[ \delta K_{ij} \delta K^{ij} - \delta K^2 + (1+lpha_T) igg( R rac{\delta \sqrt{h}}{a^3} + \delta_2 R igg) \ + lpha_K H^2 \delta N^2 + 4 lpha_B H \, \delta K \, \delta N + (1+lpha_H) R \, \delta N igg]$$

→ 6 free coefficients: M(t) [or  $\alpha_M(t)$ ],  $\alpha_T(t)$ ,  $\alpha_K(t)$ ,  $\alpha_B(t)$ ,  $\alpha_H(t)$  and H(t)

# interpretation of EFT d.o.f.

(mostly Bellini & Sawicki 2014)

- H(t): background evolution
- $\alpha_{K}(t)$ : "kineticity" kinetic energy, large  $\alpha_{K} \rightarrow$  small  $c_{s}^{2}$ ;
- $\alpha_{\rm B}(t)$ : "braiding" mixing of kinetic terms and metric, contributes to DE clustering
- $\alpha_M(t)$ : "Planck mass run rate",  $\alpha_M = 1/(2H) d(\ln M^2)/dt$ , contributes to anisotropic stress
- $\alpha_T(t)$ : "tensor speed excess", also contributes to anisotropic stress
- $\alpha_{\rm H}(t)$ : "beyond Horndeski", higher order term in Einstein eq. that cancels in e.o.m.

These are 'properties of the material' (i.e. dark energy) and to be measured from data, there is no a priori hierarchy in EFT's

there are also stability conditions on the  $\alpha_i$  like  $c_s^2 > 0$ ,  $c_T^2 > 0$ , positive kinetic terms, cf Bellini&Sawicki 2014

## link to scalar fields/Horndeski

(Bloomfield 2013, here following again Gleyzes 2015)

Use 'Stückelberg trick' to restore general covariance and reintroduce scalar field perturbations

$$t \rightarrow t + \pi(t,x)$$
;  $\phi = \phi_0(t+\pi) = \phi_0(t) + \delta \phi$ 

the functions then transform as

$$f \to f + \dot{f}\pi + \frac{1}{2}\ddot{f}\pi^2 + \mathcal{O}(\pi^3) \quad \Rightarrow \quad \delta\varphi = \dot{\varphi}\pi$$

and the `Stückelberg field'  $\pi$  e.o.m. is

$$\begin{split} H^{2}\alpha_{K}\ddot{\pi} + \left\{ \left[ H^{2}(3+\alpha_{M}) + \dot{H} \right] \alpha_{K} + (H\alpha_{K})^{\cdot} \right\} H\dot{\pi} \\ &+ 6 \left\{ \left( \dot{H} + \frac{\rho_{m} + p_{m}}{2M^{2}} \right) \dot{H} + \dot{H}\alpha_{B} \left[ H^{2}(3+\alpha_{M}) + \dot{H} \right] + H(\dot{H}\alpha_{B})^{\cdot} \right\} \pi \\ &- 2 \frac{k^{2}}{a^{2}} \left\{ \dot{H} + \frac{\rho_{m} + p_{m}}{2M^{2}} + H^{2} \left[ 1 + \alpha_{B}(1+\alpha_{M}) + \alpha_{T} - (1+\alpha_{H})(1+\alpha_{M}) \right] + (H(\alpha_{B} - \alpha_{H}))^{\cdot} \right\} \pi \\ &+ 6 H\alpha_{B} \ddot{\Psi} + H^{2}(6\alpha_{B} - \alpha_{K}) \dot{\Phi} + 6 \left[ \dot{H} + \frac{\rho_{m} + p_{m}}{2M^{2}} + H^{2}\alpha_{B}(3+\alpha_{M}) + (\alpha_{B}H)^{\cdot} \right] \dot{\Psi} \\ &+ \left[ 6 \left( \dot{H} + \frac{\rho_{m} + p_{m}}{2M^{2}} \right) + H^{2}(6\alpha_{B} - \alpha_{K})(3+\alpha_{M}) + 2(9\alpha_{B} - \alpha_{K})\dot{H} + H(6\dot{\alpha}_{B} - \dot{\alpha}_{K}) \right] H\Phi \\ &+ 2 \frac{k^{2}}{a^{2}} \left\{ \alpha_{H} \dot{\Psi} + \left[ H(\alpha_{M} + \alpha_{H}(1+\alpha_{M}) - \alpha_{T}) - \dot{\alpha}_{H} \right] \Psi + (\alpha_{H} - \alpha_{B}) H\Phi \right\} = 0 \,. \end{split}$$

for  $\alpha_{\rm H}$ =0 this is equivalent to Horndeski (Bloomfield 2013), but the EFT approach is explicitly *NOT* supposed to be a 'fundamental theory'!

### brief aside on non-local models

some model classes are not reflected in EFT, e.g. non-local models like (Maggiore et al), models with torsion, non-metric theories, Palatini, ...

$$S = \frac{m_{\rm Pl}^2}{2} \int d^4x \sqrt{-g} \left[ R - \frac{1}{6} m^2 R \frac{1}{\Box^2} R \right] \qquad \qquad \text{important on} \\ \text{large scales}$$

Non-local models are themselves effective models, unlikely to be fundamental. Nice aspect: different from LCDM but fitting the data as well – Euclid will be able to tell them apart, good benchmark



## action-based approach

- The equation of motion of Φ corresponds to a fluid with certain parameters (sound speed = speed of light, no anisotropic stress)
- The free function  $V(\Phi)$  corresponds to a choice of w(z) or H(z)
- Can we bypass the field-based model and look at w or H directly? This eliminates possible degeneracies with observations too!

## phenomenology of the dark side $G_{\mu\nu} = 8\pi G T_{\mu\nu}$ stuff (determined by \_\_\_\_\_ geometry (what is it?) the metric) your favourite theory distances $d \sim \int_0^\infty \frac{dz}{H(z)}$ $\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho$ $\dot{\rho} = -3\frac{\dot{a}}{a}(1+w)\rho$ δ

#### "effective" scalar field fluids

How about perturbations? It works too!

$$\begin{split} \delta_i' &= 3(1+w_i)\phi' - \frac{V_i}{Ha^2} - \frac{3}{a}\left(\frac{\delta p_i}{\rho_i} - w_i\delta_i\right) \\ V_i' &= -(1-3w_i)\frac{V_i}{a} + \frac{k^2}{Ha}\left(\frac{\delta p_i}{\rho_i} + (1+w_i)(\psi - \sigma_i)\right) \end{split} \begin{array}{l} \text{Newtonian} \\ \text{gauge fluid} \\ \text{perturbation} \\ \text{equations} \end{aligned}$$
$$-\delta T_0^0 &= \delta\rho = \frac{1}{a^2}\dot{\phi}\dot{\delta}\phi - \frac{1}{a^2}\dot{\phi}^2\Psi + \frac{dV}{d\phi}\delta\phi \\ \delta T_i^i &= \delta p = \frac{1}{a^2}\dot{\phi}\dot{\delta}\phi - \frac{1}{a^2}\dot{\phi}^2\Psi - \frac{dV}{d\phi}\delta\phi \\ -ik\delta T_0^i &= ik\delta T_i^0 = \frac{k^2}{a^2}\dot{\phi}\delta\phi = \bar{\rho}V \end{aligned} \begin{array}{l} \text{``dictionary'' from} \\ \frac{\delta S[g_{\mu\nu},\phi]}{\delta g^{\mu\nu}} &= 0 \\ G_{\mu\nu} &= 8\pi GT_{\mu\nu} \end{aligned}$$
$$\ddot{\delta}\phi + 2aH\dot{\delta}\phi + a^2\left(\frac{d^2V}{d\phi^2} + \frac{k^2}{a^2}\right)\delta\phi = 4\dot{\phi}\dot{\Psi} - 2a^2\Psi\frac{dV}{d\phi} \end{aligned} \begin{array}{l} \text{perturbation e.o.m.} \\ \text{from} \quad \frac{\delta S[g_{\mu\nu},\phi]}{\delta\phi} &= 0 \\ \frac{\delta S[g_{\mu\nu},\phi]}{\delta\phi} &= 0 \end{aligned}$$

## "effective" scalar field fluids

What is the equivalent model?

Introduce rest-frame sound speed

 $\delta p = c_s^2 \, \delta \rho$ 

• gauge transformation to Newtonian gauge

$$\delta p = \hat{c}_s^2 \delta \rho + \frac{3aH}{k^2} \left( \hat{c}_s^2 - c_a^2 \right) \bar{\rho} V$$

 magic correspondence: evolution of linear scalar field perturbations correspond to fluid with

$$c_{s}^{2}=1, \sigma=0$$

- e.g. K-essence is generalization to arbitrary  $c_s^2 = K_{,\chi}/(K_{,\chi}+2XK_{,\chi\chi})$  (and KGB to more complicated  $\delta p$ )
- physics determines how much freedom is in functions

## the background case

$$ds^{2} = -dt^{2} + a(t)^{2}dx^{2} \quad \text{metric "template"}$$
  
Einstein eq'n 
$$H^{2} = \left(\frac{\dot{a}}{a}\right)^{2} = \frac{8\pi G}{3}\left(\rho_{1} + \rho_{2} + \ldots + \rho_{n}\right)$$
  
conservation  $\dot{\rho}_{i} = -3H(\rho_{i} + \rho_{i}) = -3H(1 + w_{i})\rho_{i} \quad i = 1, \ldots, n$ 

w<sub>i</sub> describe the fluids

С

- normally all but one known
- Ha describe observables (distances, ages, etc)



### perturbations

 $ds^2 = -(1+2\psi)dt^2 + a^2(1-2\phi)dx^2$  metric (gauge fixed, scalar dof) conservation eq's fluid metric fluid perturbations evolution Einstein eg's  $k^{2}\phi = -4\pi Ga^{2}\sum_{i}\rho_{i}\left(\delta_{i}+3Ha\frac{V_{i}}{k^{2}}\right), k^{2}(\phi-\psi) = 12\pi Ga^{2}\sum_{i}(1+w_{i})\rho_{i}\sigma_{i}$  $\delta_i' = 3(1+w_i)\phi' - \frac{V_i}{Ha^2} - \frac{3}{a} \left( \frac{\delta p_i}{\rho_i} - w_i \delta_i \right) \\ V_i' = -(1-3w_i) \frac{V_i}{a} + \frac{k^2}{Ha} \left( \frac{\delta p_i}{\rho_i} + (1+w_i)(\psi - \sigma_i) \right)$ 

## general dark phenomenology

modified "Einstein" eq: (projection to 3+1D)

$$X_{\mu\nu} = -8\pi G T_{\mu\nu}$$

$$G_{\mu\nu} = -8\pi G T_{\mu\nu} - Y_{\mu\nu} \quad Y_{\mu\nu} \equiv X_{\mu\nu} - G_{\mu\nu}$$

 $Y_{\mu\nu}$  can be seen as an effective DE energy-momentum tensor.

#### Is it conserved?

Yes, since  $T_{\mu\nu}$  is conserved, and since  $G_{\mu\nu}$  obeys the Bianchi identities!

#### Cosmology can measure effective DE EMT

#### the geometric EMT

(G. Ballesteros, L. Hollenstein, R. Jain & MK)

$$\begin{split} 1 + w_G &= -\frac{2}{3} \frac{\dot{H}}{H^2} \\ \delta\rho_G &= -2M_P^2 \left[ 3H \left( \dot{\phi} + H \psi \right) - a^{-2} \nabla^2 \phi \right] \\ \delta p_G &= 2M_P^2 \left[ \ddot{\phi} + H \left( 3\dot{\phi} + \dot{\psi} \right) - 3w_G H^2 \psi - \frac{1}{3} a^{-2} \nabla^2 \Pi \right] \\ \delta q_{\mu G} &= -2M_P^2 \delta^i_{\mu} \left[ \partial_i \left( \dot{\phi} + H \psi \right) \right] \\ \delta \pi_{\mu\nu G} &= M_P^2 \delta^i_{\mu} \delta^j_{\nu} \left[ \left( \partial_i \partial_j - \frac{1}{3} \delta_{ij} \nabla^2 \right) \Pi \right] \\ \Pi &= \phi - \psi \end{split}$$

We can always reconstruct an effective fluid EMT that gives the observed metric!

## phenomenological parameters



## a hierarchy of DE modelling

fundamental action based models

effective field theories (action based)

equivalent fluid description

more general

phenomenological metric parameters

cosmological observations

## model – EFT translation

Model Class		$lpha_{ m K}$	$oldsymbol{lpha}_{ m B}$	$lpha_{ m M}$	$lpha_{ ext{T}}$
ΛCDM		0	0	0	0
cuscuton $(w_X \neq -1)$	[71]	0	0	0	0
quintessence	<b>[1, 2]</b>	$(1-\Omega_{ m m})(1+w_X)$	0	0	0
k-essence/perfect fluid	[45, 46]	$rac{(1-\Omega_{ m m})(1+w_X)}{c_{ m s}^2}$	0	0	0
kinetic gravity braiding	[47–49]	$m^2 (n_m + \kappa_\phi) / H^2 M_{\rm Pl}^2$	$m\kappa/HM_{\rm Pl}^2$	0	0
galileon cosmology	[57]	$-3/2lpha_{ m M}^{3}H^{2}r_{ m c}^{2}e^{2\phi/M}$	$\alpha_{ m K}/6-lpha_{ m M}$	$-2\dot{\phi}/HM$	0
BDK	[26]	$\dot{\phi}^2 K_{,\dot{\phi}\dot{\phi}} e^{-\kappa} / H^2 M^2$	$-lpha_{ m M}$	$\dot{\kappa}/H$	0
metric $f(R)$	[3, 72]	0	$-lpha_{ m M}$	$B\dot{H}/H^2$	0
MSG/Palatini $f(R)$	[73, 74]	$-3/2lpha_{ m M}^2$	$-lpha_{ m M}$	$2\dot{\phi}/H$	0
f(Gauss-Bonnet)	[52, 75, 76]	0	$rac{-2H\dot{\xi}}{M^2+H\dot{\xi}}$	$rac{\dot{H}\dot{\xi}+H\ddot{\xi}}{H\left(M^2+H\dot{\xi} ight)}$	$\frac{\ddot{\xi} - H\dot{\xi}}{M^2 + H\dot{\xi}}$

from Bellini & Sawicki, arXiv:1404.3713

## model predictions for pheno



#### ???

Is it enough to say 'my dark energy has w(z) = ... ' when using the full Planck data?

- A) I assume it's a trick question, but why not?
- B) No, I need to specify 1 extra quantity, namely ...
- C) No, I need to specify 2 extra quantities, namely ...
- D) It depends

## only $\Lambda$ has no perturbations

immediate consequences:

- dark energy is never completely smooth if w  $\neq$  -1 (and not even then if  $\sigma \neq 0$ !)
- for nearly all data sets we MUST give perturbation description, not just w
- sound horizons (and other things) lead to scale-dependent clustering

#### behaviour of scalar field $\,\delta\,$

(e.g. Sapone & MK 09)

model {w,c<sub>s</sub>, $\sigma$ =0}; matter dom.:  $\Phi$  = constant,  $\delta_m \sim a$ 



#### summary so far

- data requires some kind of dark energy
- cosmological constant fits, but is a bit unsatisfactory
- no other obvious natural fundamental theories
- so build effective theory that models d.o.f.
  - EFT assumptions under control, but possibly limited
  - effective fluid in the middle, can be linked to either
  - explicitly model geometry fully general but may contain 'impossible' things
  - freedom in effective functions depends on physics that you want to model / test
- still need to find a fundamental theory
- non-perturbative / non-linear effects like screening
- and how about non-perturbative / non-linear aspects of GR?

## **LTB and Backreaction**

Two large classes of models:

- Inhomogeneous cosmology: Copernican Principle is wrong, Universe is not homogeneous (and we live in a special place).
- Backreaction: GR is a nonlinear theory, so averaging is non-trivial. The evolution of the 'averaged' FLRW case may not be the same as the average of the true Universe.

### testing the Copernican principle

1. Is it possible to test the geometry (Copernican principle) directly?

2. Yes! Clarkson et al, PRL (2008) -> in FLRW (integrate along ds=0):

$$H_0 D(z) = \frac{1}{\sqrt{-\Omega_k}} \sin\left(\sqrt{-\Omega_k} \int_0^z \frac{H_0}{H(u)} du\right)$$
$$\Rightarrow H_0 D'(z) = \frac{H_0}{H(z)} \cos\left(\sqrt{-\Omega_k} \int_0^z \frac{H_0}{H(u)} du\right)$$
$$\rightarrow \left(HD'\right)^2 - 1 = \sin^2(\cdots) = -\Omega_k \left(H_0 D\right)^2$$

It is possible to reconstruct the curvature by comparing a distance measurement (which depends on the geometry) with a radial measurement of H(z) without dependence on the geometry.

Baryon Acoustic Oscillations may be able to do that (or in future redshift drift or supernova dipole).

### Lemaitre-Tolman-Bondi

do we live in the center of the world?


## Backreaction

normal approach: separation into "background" and "perturbations"

$$g_{\mu\nu}(t,x) = \bar{g}_{\mu\nu}(t) + h_{\mu\nu}(t,x)$$
$$\rho(t,x) = \bar{\rho}(t) + \delta\rho(t,x)$$

but which is the "correct" background, and why should it evolve as if it was a solution of Einsteins equations? The averaging required for the background does not commute with derivatives or quadratic expressions,

$$\left(\partial_t \langle \phi \rangle \neq \langle \partial_t \phi \rangle \qquad \langle \theta^2 \rangle \neq \langle \theta \rangle^2\right)$$

-> can derive set of averaged equations, taking into account that some operations not not commute: "Buchert equations"

# average and evolution

# the average of the evolved universe is in general not the evolution of the averaged universe!



### deviation from FLRW background in gevolution

$$ds^{2} = -(1+2\psi)dt^{2} + a^{2}(1-2\phi)dx^{2}$$

- absorb  $\Psi$  zero mode into time redefinition
- interpret  $\Phi$  zero mode as correction to chosen background evolution a(t)
- can check if background evolves differently than in FLRW → not possible in Newtonian simulations!



#### backreaction seems to stop!



#### Layzer-Irvine equation & virialization

correction to expansion rate from zero mode:  ${\cal H} o {\cal H} - \Phi_0' = n^\mu_{;\mu}/3$ 

equation for evolution of zero mode:

$$2\Phi_0' + 3\mathcal{H}\Omega_m\Phi_0 = -\mathcal{H}\Omega_mrac{T+U}{M}$$

(In a 'Newtonian interpretation', using  $2T = \Sigma m_i v_i^2$  and  $2U = \Sigma m_i \psi(x_i)$ )

Newtonian gravity:

Layzer-Irvine equation 
$$T' + U' + \mathcal{H} \left( 2T + U \right) = 0$$

virialization: 2T = -U

 $\rightarrow$  zero mode approaches a constant value  $\Phi_0$ 

$$\rightarrow -(T+U)/(3M)$$

 $\rightarrow$  correction to expansion rate  $\Delta \mathcal{H} = -\Phi'_0$  goes to zero in the virial limit!

(and relativistic corrections appear to be small)

## brief survey

What do you think is the origin of 'dark energy'?

- A) cosmological constant
- B) there is no dark energy, there is a problem with the data
- C) there is no dark energy, there is a problem with our understanding of GR (eg backreaction)
- D) a scalar-field like model (~ Horndeski/EFT)
- E) something else
- F) I don't care, I want to go home!

## **DE theory summary**

- the nature of dark energy is still unknown
- many models exist at level of action, including the cosmological constant
- also systematic and general frameworks exist
- key goal: test / exclude cosmological constant
- challenges especially in non-linear domain → advanced computational techniques & simulations
- important to keep an open mind for other possibilities (both DE/MG theories and especially wrong assumptions)